



Client Focused Trading

**Notice of CFT Securities, LLC
Regarding Disclosure of Order Routing Information**

Pursuant to SEC Rule 606 under the Securities Exchange Act of 1934, CFT Securities, LLC makes available to its customers, on a quarterly basis, certain information regarding the identity of the venues to which its non-directed customers' orders are routed. This rule pertains to equities on the NYSE, those approved for inclusion in NASDAQ or any other national securities exchange, and certain options.

Rule 606 reports for CFT Securities, LLC and information on the CFT Securities, LLC routing policy may be found by contacting CFT Securities, LLC.

In addition, upon request, CFT Securities, LLC will furnish you with (i) a written copy of a Rule 606 report and/or (ii) the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders and the time of the transactions, if any, that resulted from such orders.

Statement of Order Routing Practices

CFT Securities, LLC, in an effort to seek best execution, may route non-directed customer orders to national securities exchanges, electronic communication networks ("ECN"), and other market centers. In turn, and in conjunction with best execution practices, CFT Securities, LLC may receive a monetary payment or other benefit in consideration for CFT Securities, LLC directing orders to a specific venue for execution. This may include, and is not limited to, a rebate or reduced processing charges.

For NASDAQ eligible orders, CFT Securities, LLC does not make a market in the trading of any eligible securities. All orders are transmitted directly to an individual market maker or ECN for execution on a fully-disclosed agency basis.

Other Matters

For additional information concerning your account, or how to file a complaint regarding your account, please contact:

**CFT Securities, LLC
324 Wall Street
Princeton, NJ 08540
(609) 759-5214**